

To: Medicare Advantage (MA) and Medicare Advantage Prescription Drug (MA-PD) Contracts

Regarding: Submitting and / or Retrieving, Risk Adjustment (RA) and / or Prescription Drug Event (PDE) Data Directly to CMS Enterprise File Transfer (GENTRAN)

Plans / Contracts submitting directly to the GENTRAN application need to submit an EDI agreement and Submitter application to the Customer Service and Support Center (CSSC), 877-534-2772, www.csscoperations.com.

- **EDI Agreement:** A CMS EDI Agreement must be completed for the specific data type, RA / PDE, by each contract and on file with CSSC, prior to submitting Test or Production Data. The agreement must be signed by an authorized agent of the organization and returned to CSSC Operations.
- **Submitter ID Assignment:** A Submitter ID will be assigned to you by the CSSC and will remain effective for ongoing submission of RA and/or PDE data. This is the unique ID assigned to the contract that will allow data submission and report retrieval. Complete the Submitter Application and return it to CSSC Operations with the completed EDI Agreement.

The GENTRAN mailbox(s) for any PDE or RA data must be established and access granted by contacting the MAPD technical help desk at 800-927-8069 or through the website at www.cms.hhs.gov/mapdhelpdesk or e-mail at mapdhelp@cms.hhs.gov.

- Contracts using GENTRAN may not have more than 100,000 enrollees.
- The files submitted may not be over 1.5 g in size for any one submission.
- A mailbox must be established for each Plan / Contract number and type of data, i.e. RA and PDE that will be submitted through GENTRAN. Multiple Plan / Contract numbers cannot be submitted in the same file through GENTRAN.
- Third Party Submitters submitting RA and / or PDE data through GENTRAN would have to have mailboxes created for each of the contracts for which they are submitting. Multiple Plan / Contract numbers cannot be submitted in the same file through GENTRAN.
- Contracts / Plans using Third Party Submitters should request through the CSMM, that a GENTRAN mailbox be established for the Plan to receive reports / files.

Contracts / Plans considering using the GENTRAN application at CMS will work closely with the CSSC and the CSMM to complete the appropriate paperwork and establish the necessary connectivity.

GENTRAN File and Report Naming Conventions

PDE Production

Plan to CMS GENTRAN Name

guid.racf.PDE.freq.ccccc.FUTURE.P

GENTRAN Report Name

RSP.PDFS_RESP_\$\$\$\$\$\$
RPT.DDPS_TRANS_VALIDATION_\$\$\$\$\$\$
RPT.DDPS_ERROR_SUMMARY_\$\$\$\$\$\$
RPT.DDPS_CUM_BENE_ACT_COV_\$\$\$\$\$\$
RPT.DDPS_CUM_BENE_ACT_ENH_\$\$\$\$\$\$
RPT.DDPS_CUM_BENE_ACT_OTC_\$\$\$\$\$\$

RAPS Production

Plan to CMS GENTRAN Name

guid.racf.RAPS.freq.ccccc.FUTURE.P

GENTRAN Report Name

RSP.FERAS_RESP_\$\$\$\$\$\$
RPT.RAPS_RETURN_FLAT_\$\$\$\$\$\$
RPT.RAPS_ERRORRPT_\$\$\$\$\$\$
RPT.RAPS_SUMMARY_\$\$\$\$\$\$
RPT.RAPS_DUPDX_RPT_\$\$\$\$\$\$
RPT.RAPS_MONTHLY_\$\$\$\$\$\$
RPT.RAPS_CUMULATIVE_\$\$\$\$\$\$
RAPS_ERRORFREQ_MNTH_\$\$\$\$\$\$
RAPS_ERRORFREQ_QTR_\$\$\$\$\$\$

Encounter Data Production

Plan to CMS GENTRAN Name

guid.racf.EDS.freq.ccccc.FUTURE.P

GENTRAN Report Name – Front-End Reports

P.xxxx.EDS_RESPONSE.pn
P.xxxx.EDS_REJT_IC_ISAIEA.pn
P.xxxx.EDS_REJT_FUNCT_TRANS.pn
P.xxxx.EDS_ACCPT_FUNCT_TRANS.pn
P.xxxx.EDS_RESP_CLAIM_NUM.pn

GENTRAN Report Name – Back-End Reports

P.xxxx.EDPS_001_DataDuplicate_Rpt
P.xxxx.EDPS_002_DataProcessingStatus_Rpt
P.xxxx.EDPS_003_PricingStatus_Rpt
P.xxxx.EDPS_004_RiskFilter_Rpt
P.xxxx.EDPS_005_DispositionSummary_Rpt
P.xxxx.EDPS_006_EditDisposition_Rpt
P.xxxx.EDPS_007_DispositionDetail_Rpt

P.xxxx.EDPS_001_DataDuplicate_File

PDE Test

Plan to CMS GENTRAN Name

guid.racf.PDE.freq.ccccc.FUTURE.T

GENTRAN Report Name

TEST.RSP.PDFS_RESP_\$\$\$\$\$\$
TEST.RPT.DDPS_TRANS_VALIDATION_\$\$\$\$\$\$
TEST.RPT.DDPS_ERROR_SUMMARY_\$\$\$\$\$\$
TEST.RPT.DDPS_CUM_BENE_ACT_COV_\$\$\$\$\$\$
TEST.RPT.DDPS_CUM_BENE_ACT_ENH_\$\$\$\$\$\$
TEST.RPT.DDPS_CUM_BENE_ACT_OTC_\$\$\$\$\$\$

RAPS Test

Plan to CMS GENTRAN Name

guid.racf.RAPS.freq.ccccc.FUTURE.T

GENTRAN Report Name

TEST.RSP.FERAS_RESP_\$\$\$\$\$\$
TEST.RPT.RAPS_RETURN_FLAT_\$\$\$\$\$\$
TEST.RPT.RAPS_ERRORRPT_\$\$\$\$\$\$
TEST.RPT.RAPS_SUMMARY_\$\$\$\$\$\$
TEST.RPT.RAPS_DUPDX_RPT_\$\$\$\$\$\$
TEST.RPT.RAPS_MONTHLY_\$\$\$\$\$\$
TEST.RPT.RAPS_CUMULATIVE_\$\$\$\$\$\$
TEST.RAPS_ERRORFREQ_MNTH_\$\$\$\$\$\$
TEST.RAPS_ERRORFREQ_QTR_\$\$\$\$\$\$

Encounter Data Test

Plan to CMS GENTRAN Name

guid.racf.EDS.freq.ccccc.FUTURE.T

GENTRAN Report Name – Front-End Reports

T.xxxx.EDS_RESPONSE.pn
T.xxxx.EDS_REJT_IC_ISAIEA.pn
T.xxxx.EDS_REJT_FUNCT_TRANS.pn
T.xxxx.EDS_ACCPT_FUNCT_TRANS.pn
T.xxxx.EDS_RESP_CLAIM_NUM.pn

GENTRAN Report Name – Back-End Reports

T.xxxx.EDPS_001_DataDuplicate_Rpt
T.xxxx.EDPS_002_DataProcessingStatus_Rpt
T.xxxx.EDPS_003_PricingStatus_Rpt
T.xxxx.EDPS_004_RiskFilter_Rpt
T.xxxx.EDPS_005_DispositionSummary_Rpt
T.xxxx.EDPS_006_EditDisposition_Rpt
T.xxxx.EDPS_007_DispositionDetail_Rpt

T.xxxx.EDPS_001_DataDuplicate_File

P.xxxx.EDPS_002_DataProcessingStatus_File	T.xxxx.EDPS_002_DataProcessingStatus_File
P.xxxx.EDPS_003_PricingStatus_File	T.xxxx.EDPS_003_PricingStatus_File
P.xxxx.EDPS_004_RiskFilter_File	T.xxxx.EDPS_004_RiskFilter_File
P.xxxx.EDPS_005_DispositionSummary_File	T.xxxx.EDPS_005_DispositionSummary_File
P.xxxx.EDPS_006_EditDisposition_File	T.xxxx.EDPS_006_EditDisposition_File
P.xxxx.EDPS_007_DispositionDetail_File	T.xxxx.EDPS_007_DispositionDetail_File

CONTACTING CSSC OPERATIONS:

When a contract has established a mailbox at CMS, the following steps must be taken to make sure the connection from FERAS/PDFS to CMS GENTRAN mailbox has been generated:

- Check enrollment in HPMS
- Distinguish RAPS and/or PDE mailbox needs to be established
- Send email to CSSC technician to set up GDG Base to send either RAPS and/or PDE data and reports
- Once the above steps have been completed, EPClaims is updated for PDE contracts only (RAPS requires no additional updates in EPClaims)
- Customer is notified
- GENTRAN spreadsheet on the "U" Drive is updated
- Enter information into the INFO System